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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/653,706	09/01/2000	Gilberto Arnaiz	SIEB012/01US

022903  
COOLEY GODWARD LLP  
ATTN: PATENT GROUP  
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## FORMALITIES LETTER



\*OC000000005499022\*

Date Mailed: 10/24/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/22/2001 SDIRETA1 00000101 09653706

FILED UNDER 37 CFR 1.53(b)

01 FC:101 710.00 OP  
02 FC:105 130.00 OP

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$2292.
  - \$576 for 32 total claims over 20.
  - \$1716 for 22 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3112.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

*Sector #3*

Attorney Docket No. SIEB-012/01US

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of Arnaiz, et al.

Serial No.: 09/653,706

Examiner: Unassigned

Filed: September 1, 2000

Art Unit: 2152

For: METHOD, SYSTEM, APPARATUS AND PROGRAM FOR  
DISTRIBUTION AND INSTANTIATION OF SOFTWARE UPGRADES

Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

#### RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 C.F.R. § 1.53(e) mailed **October 24, 2000**, enclosed are:

- ☒ Copy of Notice to File Missing Parts of Application
- ☒ 5 Executed Declarations
- ☒ Power of Attorney by Assignee
- ☒ Three Month Extension of Time
- ☒ Preliminary Amendment
- ☒ Information Disclosure Statement with Form 1449 and cited references
- ☒ Return Postcard

and the payment of the following fees:

☒ Filing fee of \$710.00

☒ Surcharge for Late Filing of Declaration of \$130.00

☒ Three Month Extension fee of \$890.00

**Total Fee due: \$ 1730.00**

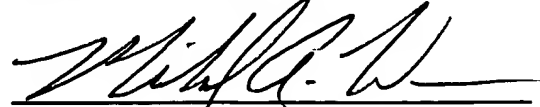
A check in the amount of \$1730.00 is enclosed to cover the filing fee, surcharge and three month extension. The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-1283. This paper is being submitted in duplicate.

Dated: 3/21/01

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Respectfully submitted,  
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By:

  
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